

# **PreRNN and BandRNN for Video Understanding**

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### **Sequential Learning Problems**



machine translation



polyphonic music modeling



handwriting modeling



speech recognition



intelligent video analytics



language modeling

### **RNNs in Sequential Learning**



Vanilla RNN (VRNN)

Long Short-Term Memory (LSTM)

Gated Recurrent Unit (GRU)

tanh

### **RNNs in Video Understanding**

#### Examples



Ng et al. CVPR 2015



Yang et al. ACMMM 2016



Molchanov et al. CVPR 2016



Peng et al. ECCV 2016



Zhou et al. CVPR 2017



Tokmakov et al. ICCV 2017

### **RNNs in Video Understanding**

#### **Distinct Properties of Videos**

- Processing unit in a more structured format such as image or snippet
- CNNs serve as backbone networks
- Pre-trained on large-scale image or video datasets
- How to construct RNNs to better leverage the pre-trained CNNs
- Large redundancy and diverse temporal dependencies on different applications
- Such as facial alignment, hand gesture recognition, activity recognition
- Poorly understood which recurrent structure or which gating mechanism best suits

# PreRNN+BandRNN for Video Understanding

- PreRNN: make pre-trained CNNs recurrent by transforming pre-trained convolutional or fully connected layers into recurrent layers
- PreRNN-SIH: simplify input-to-hidden states and reduce recurrent parameters
- BandRNN: sparsify hidden-to-hidden weights and further reduce recurrent parameters

### **PreRNN for Video Understanding**

**Overview** 



### **PreRNN for Video Understanding**

**Overview** 



# **Traditional RNNs**

Notation

#### VRNN

 $\boldsymbol{h}_t = \mathcal{H}(\boldsymbol{W}_{ih} \boldsymbol{y}_t + \boldsymbol{W}_{hh} \boldsymbol{h}_{t-1})$ 

#### LSTM

| $oldsymbol{i}_t = \mathrm{sigm}(oldsymbol{W}_{ii}oldsymbol{y}_t + oldsymbol{W}_{hi}oldsymbol{h}_{t-1})$ | $\boldsymbol{f}_t = \operatorname{sigm}(\boldsymbol{W}_{if} \boldsymbol{y}_t + \boldsymbol{W}_{hf} \boldsymbol{h}_{t-1})$ |
|---|---|
| $oldsymbol{o}_t = \mathrm{sigm}(oldsymbol{W}_{io}oldsymbol{y}_t + oldsymbol{W}_{ho}oldsymbol{h}_{t-1})$ | $	ilde{oldsymbol{c}}_t = 	anh(oldsymbol{W}_{ic}oldsymbol{y}_t + oldsymbol{W}_{hc}oldsymbol{h}_{t-1})$                     |
| $oldsymbol{c}_t = oldsymbol{f}_t \odot oldsymbol{c}_{t-1} + oldsymbol{i}_t \odot oldsymbol{	ilde{c}}_t$ | $oldsymbol{h}_t = oldsymbol{o}_t \odot 	anh(oldsymbol{c}_t)$  |

#### GRU

$$r_t = \operatorname{sigm}(\boldsymbol{W}_{ir}\boldsymbol{y}_t + \boldsymbol{W}_{hr}\boldsymbol{h}_{t-1}) \qquad \boldsymbol{z}_t = \operatorname{sigm}(\boldsymbol{W}_{iz}\boldsymbol{y}_t + \boldsymbol{W}_{hz}\boldsymbol{h}_{t-1}) \\ \tilde{\boldsymbol{h}}_t = \operatorname{tanh}(\boldsymbol{W}_{ih}\boldsymbol{y}_t + \boldsymbol{W}_{hh}(\boldsymbol{r}_t \odot \boldsymbol{h}_{t-1})) \qquad \boldsymbol{h}_t = (1 - \boldsymbol{z}_t) \odot \boldsymbol{h}_{t-1} + \boldsymbol{z}_t \odot \tilde{\boldsymbol{h}}_t$$

### **Traditional RNNs**

Input-to-Hidden State

#### VRNN

 $\boldsymbol{h}_t = \mathcal{H}(\boldsymbol{W}_{ih}\boldsymbol{y}_t + \boldsymbol{W}_{hh}\boldsymbol{h}_{t-1})$ 

#### LSTM

| $oldsymbol{i}_t = \mathrm{sigm}(oldsymbol{W}_{ii}oldsymbol{y}_t + oldsymbol{W}_{hi}oldsymbol{h}_{t-1})$ | $oldsymbol{f}_t = \mathrm{sigm}(oldsymbol{W}_{if}oldsymbol{y}_t + oldsymbol{W}_{hf}oldsymbol{h}_{t-1})$  |
|---|--|
| $oldsymbol{o}_t = \mathrm{sigm}(oldsymbol{W}_{io}oldsymbol{y}_t + oldsymbol{W}_{ho}oldsymbol{h}_{t-1})$ | $	ilde{oldsymbol{c}}_t = 	anh( oldsymbol{W}_{ic} oldsymbol{y}_t + oldsymbol{W}_{hc} oldsymbol{h}_{t-1})$ |
| $oldsymbol{c}_t = oldsymbol{f}_t \odot oldsymbol{c}_{t-1} + oldsymbol{i}_t \odot 	ilde{oldsymbol{c}}_t$ | $oldsymbol{h}_t = oldsymbol{o}_t \odot 	anh(oldsymbol{c}_t)$   |

#### GRU

$$r_{t} = \operatorname{sigm}(\boldsymbol{W}_{ir}\boldsymbol{y}_{t} + \boldsymbol{W}_{hr}\boldsymbol{h}_{t-1}) \qquad \boldsymbol{z}_{t} = \operatorname{sigm}(\boldsymbol{W}_{iz}\boldsymbol{y}_{t} + \boldsymbol{W}_{hz}\boldsymbol{h}_{t-1})$$
$$\tilde{\boldsymbol{h}}_{t} = \operatorname{tanh}(\boldsymbol{W}_{ih}\boldsymbol{y}_{t} + \boldsymbol{W}_{hh}(\boldsymbol{r}_{t} \odot \boldsymbol{h}_{t-1})) \qquad \boldsymbol{h}_{t} = (1 - \boldsymbol{z}_{t}) \odot \boldsymbol{h}_{t-1} + \boldsymbol{z}_{t} \odot \tilde{\boldsymbol{h}}_{t}$$

### **Traditional RNNs**

Hidden-to-Hidden State

#### VRNN

 $\boldsymbol{h}_t = \mathcal{H}(\boldsymbol{W}_{ih}\boldsymbol{y}_t + \boldsymbol{W}_{hh}\boldsymbol{h}_{t-1})$ 

#### LSTM

$$\begin{split} & \boldsymbol{i}_t = \operatorname{sigm}(\boldsymbol{W}_{ii}\boldsymbol{y}_t + \boldsymbol{W}_{hi}\boldsymbol{h}_{t-1}) & \boldsymbol{f}_t = \operatorname{sigm}(\boldsymbol{W}_{if}\boldsymbol{y}_t + \boldsymbol{W}_{hf}\boldsymbol{h}_{t-1}) \\ & \boldsymbol{o}_t = \operatorname{sigm}(\boldsymbol{W}_{io}\boldsymbol{y}_t + \boldsymbol{W}_{ho}\boldsymbol{h}_{t-1}) & \boldsymbol{\tilde{c}}_t = \operatorname{tanh}(\boldsymbol{W}_{ic}\boldsymbol{y}_t + \boldsymbol{W}_{hc}\boldsymbol{h}_{t-1}) \\ & \boldsymbol{c}_t = \boldsymbol{f}_t \odot \boldsymbol{c}_{t-1} + \boldsymbol{i}_t \odot \boldsymbol{\tilde{c}}_t & \boldsymbol{h}_t = \boldsymbol{o}_t \odot \operatorname{tanh}(\boldsymbol{c}_t) \end{split}$$

#### GRU

$$r_t = \operatorname{sigm}(\boldsymbol{W}_{ir}\boldsymbol{y}_t + \boldsymbol{W}_{hr}\boldsymbol{h}_{t-1}) \qquad \boldsymbol{z}_t = \operatorname{sigm}(\boldsymbol{W}_{iz}\boldsymbol{y}_t + \boldsymbol{W}_{hz}\boldsymbol{h}_{t-1})$$
$$\tilde{\boldsymbol{h}}_t = \tanh(\boldsymbol{W}_{ih}\boldsymbol{y}_t + \boldsymbol{W}_{hh}(\boldsymbol{r}_t \odot \boldsymbol{h}_{t-1})) \qquad \boldsymbol{h}_t = (1 - \boldsymbol{z}_t) \odot \boldsymbol{h}_{t-1} + \boldsymbol{z}_t \odot \tilde{\boldsymbol{h}}_t$$

#### **Transformation for VRNN**

• A feedforward layer in CNNs

 $oldsymbol{y} = \mathcal{H}(oldsymbol{W}_{xy} \circ oldsymbol{x})$ 

PreVRNN

$$\boldsymbol{y}_t = \left\{ \begin{array}{ll} \mathcal{H}(\boldsymbol{W}_{xy}\boldsymbol{x}_t + \boldsymbol{W}_{hh}\boldsymbol{y}_{t-1}) & \text{a fc layer} \\ \mathcal{H}(\mathcal{P}(\mathcal{B}(\boldsymbol{W}_{xy} \ast \boldsymbol{x}_t) + \boldsymbol{\gamma}_t) + \boldsymbol{W}_{hh}\boldsymbol{y}_{t-1}) & \text{a conv layer} \end{array} \right.$$



- ${\mathcal B}$  batch normalization
- ${\cal P}$  pooling



#### **Transformation for LSTM**

• A feedforward layer in CNNs

 $oldsymbol{y} = \mathcal{H}(oldsymbol{W}_{xy} \circ oldsymbol{x})$ 

Gate-dependent input-to-hidden state

 $\boldsymbol{u}_t(g) = \begin{cases} \boldsymbol{W}_{ig}^p \boldsymbol{x}_t & \text{a fc layer} \\ \mathcal{P}(\mathcal{B}(\boldsymbol{W}_{ig}^p * \boldsymbol{x}_t) + \boldsymbol{\gamma}_t) & \text{a conv layer} \end{cases}$ 

PreLSTM

 $i_t = \operatorname{sigm}(\boldsymbol{u}_t(i) + \boldsymbol{W}_{hi}\boldsymbol{h}_{t-1}) \qquad \boldsymbol{f}_t = \operatorname{sigm}(\boldsymbol{u}_t(f) + \boldsymbol{W}_{hf}\boldsymbol{h}_{t-1})$  $\boldsymbol{o}_t = \operatorname{sigm}(\boldsymbol{u}_t(o) + \boldsymbol{W}_{ho}\boldsymbol{h}_{t-1}) \qquad \tilde{\boldsymbol{c}}_t = \operatorname{tanh}(\boldsymbol{u}_t(c) + \boldsymbol{W}_{hc}\boldsymbol{h}_{t-1})$ 

- $\mathcal{H}^{\scriptscriptstyle +}$  activation function
- ${\mathcal B}$  batch normalization
- $\mathcal{P}$  pooling



#### Transformation for GRU

A feedforward layer in CNNs

 $oldsymbol{y} = \mathcal{H}(oldsymbol{W}_{xy} \circ oldsymbol{x})$ 

Gate-dependent input-to-hidden state

 $oldsymbol{u}_t(g) = \left\{egin{array}{cc} oldsymbol{W}_{ig}^p oldsymbol{x}_t & ext{a fc layer} \ \mathcal{P}(\mathcal{B}(oldsymbol{W}_{ig}^p st oldsymbol{x}_t) + oldsymbol{\gamma}_t) & ext{a conv layer} \end{array}
ight.$ 

PreGRU

$$r_t = \operatorname{sigm}(\boldsymbol{u}_t(r) + \boldsymbol{W}_{hr}\boldsymbol{h}_{t-1}) \qquad \boldsymbol{z}_t = \operatorname{sigm}(\boldsymbol{u}_t(z) + \boldsymbol{W}_{hz}\boldsymbol{h}_{t-1})$$
$$\tilde{\boldsymbol{h}}_t = \operatorname{tanh}(\boldsymbol{u}_t(h) + \boldsymbol{W}_{hh}(\boldsymbol{r}_t \odot \boldsymbol{h}_{t-1}))$$

 $\mathcal{H}^{\scriptscriptstyle +}$  activation function

 ${\mathcal B}$  batch normalization

 ${\cal P}$  pooling



#### Comparison to Traditional RNN

VRNN => PreVRNN

 $\boldsymbol{h}_t = \mathcal{H}(\boldsymbol{W}_{ih}\boldsymbol{y}_t + \boldsymbol{W}_{hh}\boldsymbol{h}_{t-1})$ 

LSTM => PreLSTM

$$\begin{split} & \boldsymbol{i}_t = \operatorname{sigm}(\boldsymbol{W}_{ii}\boldsymbol{y}_t + \boldsymbol{W}_{hi}\boldsymbol{h}_{t-1}) \quad \boldsymbol{f}_t = \operatorname{sigm}(\boldsymbol{W}_{if}\boldsymbol{y}_t + \boldsymbol{W}_{hf}\boldsymbol{h}_{t-1}) \\ & \boldsymbol{o}_t = \operatorname{sigm}(\boldsymbol{W}_{io}\boldsymbol{y}_t + \boldsymbol{W}_{ho}\boldsymbol{h}_{t-1}) \quad \boldsymbol{\tilde{c}}_t = \operatorname{tanh}(\boldsymbol{W}_{ic}\boldsymbol{y}_t + \boldsymbol{W}_{hc}\boldsymbol{h}_{t-1}) \end{split}$$

GRU => PreGRU

 $\begin{aligned} \boldsymbol{r}_t &= \operatorname{sigm}(\boldsymbol{W}_{ir}\boldsymbol{y}_t + \boldsymbol{W}_{hr}\boldsymbol{h}_{t-1}) \quad \boldsymbol{z}_t = \operatorname{sigm}(\boldsymbol{W}_{iz}\boldsymbol{y}_t + \boldsymbol{W}_{hz}\boldsymbol{h}_{t-1}) \\ \boldsymbol{\tilde{h}}_t &= \operatorname{tanh}(\boldsymbol{W}_{ih}\boldsymbol{y}_t + \boldsymbol{W}_{hh}(\boldsymbol{r}_t \odot \boldsymbol{h}_{t-1})) \end{aligned}$ 

 $\boldsymbol{y}_{t} = \begin{cases} \mathcal{H}(\boldsymbol{W}_{xy}\boldsymbol{x}_{t} + \boldsymbol{W}_{hh}\boldsymbol{y}_{t-1}) & \text{a fc layer} \\ \mathcal{H}(\mathcal{P}(\mathcal{B}(\boldsymbol{W}_{xy} * \boldsymbol{x}_{t}) + \boldsymbol{\gamma}_{t}) + \boldsymbol{W}_{hh}\boldsymbol{y}_{t-1}) & \text{a conv layer} \end{cases}$ 

$$egin{aligned} egin{aligned} egin{aligne} egin{aligned} egin{aligned} egin{aligned} egin$$

$$\begin{aligned} \boldsymbol{r}_t &= \operatorname{sigm}(\boldsymbol{u}_t(r) + \boldsymbol{W}_{hr}\boldsymbol{h}_{t-1}) \quad \boldsymbol{z}_t = \operatorname{sigm}(\boldsymbol{u}_t(z) + \boldsymbol{W}_{hz}\boldsymbol{h}_{t-1}) \\ \boldsymbol{\tilde{h}}_t &= \operatorname{tanh}(\boldsymbol{u}_t(h) + \boldsymbol{W}_{hh}(\boldsymbol{r}_t \odot \boldsymbol{h}_{t-1})) \end{aligned}$$

- $\mathcal{H}_{ ext{-}}$  activation function
- ${\mathcal B}$  batch normalization
- ${\cal P}$  pooling

### **PreRNN-SIH**

#### **Transformation for LSTM**

A feedforward layer in CNNs

 $oldsymbol{y} = \mathcal{H}(oldsymbol{W}_{xy} \circ oldsymbol{x})$ 

Single input-to-hidden (SIH) state

 $oldsymbol{v}_t = \left\{egin{array}{cc} oldsymbol{W}_{xy}oldsymbol{x}_t & ext{a fc layer} \ \mathcal{P}(\mathcal{B}(oldsymbol{W}_{xy}*oldsymbol{x}_t)+oldsymbol{\gamma}_t) & ext{a conv layer} \end{array}
ight.$ 

PreLSTM-SIH

$$\begin{split} & \boldsymbol{i}_t = \operatorname{sigm}(\boldsymbol{v}_t + \boldsymbol{W}_{hi}\boldsymbol{h}_{t-1}) & \boldsymbol{f}_t = \operatorname{sigm}(\boldsymbol{v}_t + \boldsymbol{W}_{hf}\boldsymbol{h}_{t-1}) \\ & \boldsymbol{o}_t = \operatorname{sigm}(\boldsymbol{v}_t + \boldsymbol{W}_{ho}\boldsymbol{h}_{t-1}) & \boldsymbol{\tilde{c}}_t = \operatorname{tanh}(\boldsymbol{v}_t + \boldsymbol{W}_{hc}\boldsymbol{h}_{t-1}) \end{split}$$

 $\mathcal{H}^{\scriptscriptstyle +}$  activation function

 ${\mathcal B}$  batch normalization

 ${\cal P}$  pooling



### **PreRNN-SIH**

#### Transformation for GRU

A feedforward layer in CNNs

 $oldsymbol{y} = \mathcal{H}(oldsymbol{W}_{xy} \circ oldsymbol{x})$ 

Single input-to-hidden (SIH) state

 $oldsymbol{v}_t = \left\{egin{array}{cc} oldsymbol{W}_{xy}oldsymbol{x}_t & ext{a fc layer} \ \mathcal{P}(\mathcal{B}(oldsymbol{W}_{xy}*oldsymbol{x}_t)+oldsymbol{\gamma}_t) & ext{a conv layer} \end{array}
ight.$ 

PreGRU-SIH

 $egin{aligned} m{r}_t &= ext{sigm}(m{v}_t + m{W}_{hr}m{h}_{t-1}) & m{z}_t &= ext{sigm}(m{v}_t + m{W}_{hz}m{h}_{t-1}) \ m{ ilde{h}}_t &= ext{tanh}(m{v}_t + m{W}_{hh}(m{r}_t \odot m{h}_{t-1})) \end{aligned}$ 

- $\mathcal{H}^{\scriptscriptstyle +}$  activation function
- ${\mathcal B}$  batch normalization
- ${\cal P}$  pooling



### **PreRNN-SIH**

#### **Comparison to PreRNN**

Gate-dependent => Single) input-to-hidden state

 $\boldsymbol{u}_t(g) = \begin{cases} \boldsymbol{W}_{ig}^p \boldsymbol{x}_t & \text{a fc layer} \\ \mathcal{P}(\mathcal{B}(\boldsymbol{W}_{ig}^p * \boldsymbol{x}_t) + \boldsymbol{\gamma}_t) & \text{a conv layer} \end{cases}$ 

- PreLSTM => PreLSTM-SIH
  - $i_t = \operatorname{sigm}(\boldsymbol{u}_t(i) + \boldsymbol{W}_{hi}\boldsymbol{h}_{t-1}) \qquad \boldsymbol{f}_t = \operatorname{sigm}(\boldsymbol{u}_t(f) + \boldsymbol{W}_{hf}\boldsymbol{h}_{t-1})$  $\boldsymbol{o}_t = \operatorname{sigm}(\boldsymbol{u}_t(o) + \boldsymbol{W}_{ho}\boldsymbol{h}_{t-1}) \qquad \tilde{\boldsymbol{c}}_t = \operatorname{tanh}(\boldsymbol{u}_t(c) + \boldsymbol{W}_{hc}\boldsymbol{h}_{t-1})$
- PreGRU => PreGRU-SIH  $r_t = \operatorname{sigm}(u_t(r) + W_{hr}h_{t-1})$   $z_t = \operatorname{sigm}(u_t(z) + W_{hz}h_{t-1})$  $\tilde{h}_t = \operatorname{tanh}(u_t(h) + W_{hh}(r_t \odot h_{t-1}))$

$$oldsymbol{v}_t = \left\{egin{array}{cc} oldsymbol{W}_{xy}oldsymbol{x}_t & ext{a fc layer} \ \mathcal{P}(\mathcal{B}(oldsymbol{W}_{xy}*oldsymbol{x}_t)+oldsymbol{\gamma}_t) & ext{a conv layer} \end{array}
ight.$$

$$egin{aligned} m{i}_t &= ext{sigm}(m{v}_t + m{W}_{hi}m{h}_{t-1}) & m{f}_t &= ext{sigm}(m{v}_t + m{W}_{hf}m{h}_{t-1}) \ m{o}_t &= ext{sigm}(m{v}_t + m{W}_{ho}m{h}_{t-1}) & m{ ilde{c}}_t &= ext{tanh}(m{v}_t + m{W}_{hc}m{h}_{t-1}) \end{aligned}$$

$$egin{aligned} m{r}_t &= ext{sigm}(m{v}_t + m{W}_{hr}m{h}_{t-1}) & m{z}_t &= ext{sigm}(m{v}_t + m{W}_{hz}m{h}_{t-1}) \ m{ ilde{m{h}}}_t &= ext{tanh}(m{v}_t + m{W}_{hh}(m{r}_t \odot m{h}_{t-1})) \end{aligned}$$

- $\mathcal{H}_{arepsilon}$  activation function
- ${\mathcal B}$  batch normalization
- ${\cal P}$  pooling

#### Applications Diversity

| Applications              | Sequences     | CNNs          | Datasets       | Objectives |
|---------------------------|---------------|---------------|----------------|------------|
| Sequential Face Alignment | Color         | VGG16 [38]    | 300VW [7]      | $\ell_2$   |
| Hand Gesture Recognition  | Color & Depth | C3D [43]      | NVGesture [28] | CTC [15]   |
| Action Recognition        | Color & Flow  | ResNet50 [20] | UCF101 [39]    | NLL        |

Summary of the diverse experiments in terms of applications, video types, pre-trained backbone CNNs, benchmark datasets, and objective functions.

Face Alignment

| Applications              | Sequences     | CNNs                | Datasets             | Objectives        |
|---------------------------|---------------|---------------------|----------------------|-------------------|
| Sequential Face Alignment | Color         | VGG16 [ <u>38</u> ] | 300VW [7]            | $\ell_2$          |
| Hand Gesture Recognition  | Color & Depth | C3D [ <u>43</u> ]   | NVGesture [28]       | CTC [ <u>15</u> ] |
| Action Recognition        | Color & Flow  | ResNet50 [20]       | UCF101 [ <u>39</u> ] | NLL               |

Summary of the diverse experiments in terms of applications, video types, pre-trained backbone CNNs, benchmark datasets, and objective functions.

Face Alignment



Examples of detected facial landmarks on the 300VW dataset by traditional GRU (left) and PreGRU (right).

Blue dots: ground truth Red dots: detected landmarks Green bar: PreGRU with larger error Yellow bar: traditional GRU with larger error Bar length: error scale

Face Alignment

|      | Traditional |          |       | PreRNN |       |       | PreRNN-SIH |       |  |
|------|-------------|----------|-------|--------|-------|-------|------------|-------|--|
|      | 1 layer     | 2 layers | fc6   | fc7    | fc6/7 | fc6   | fc7        | fc6/7 |  |
| VRNN | 0.704       | 0.716    | 0.757 | 0.742  | 0.763 | -     | -          | -     |  |
| LSTM | 0.718       | 0.671    | 0.769 | 0.754  | 0.746 | 0.743 | 0.746      | 0.719 |  |
| GRU  | 0.722       | 0.698    | 0.772 | 0.755  | 0.761 | 0.768 | 0.748      | 0.762 |  |

AUC of traditional RNNs and our proposed PreRNN(-SIH) on 300VW.



Ratios of reduced recurrent parameters by PreRNN-SIH.



Comparison of our approach with the state-of-the-art methods.

Hand Gesture Recognition

| Applications              | Sequences     | CNNs                | Datasets             | Objectives |
|---------------------------|---------------|---------------------|----------------------|------------|
| Sequential Face Alignment | Color         | VGG16 [ <u>38</u> ] | 300VW [7]            | $\ell_2$   |
| Hand Gesture Recognition  | Color & Depth | C3D [43]            | NVGesture [28]       | CTC [15]   |
| Action Recognition        | Color & Flow  | ResNet50 [20]       | UCF101 [ <u>39</u> ] | NLL        |

Summary of the diverse experiments in terms of applications, video types, pre-trained backbone CNNs, benchmark datasets, and objective functions.

Hand Gesture Recognition



PreVRNN based hand gesture recognition system for in-car media player control.

#### Hand Gesture Recognition

|      | Traditional |          |       | PreRNN |       |       | PreRNN-SIH |       |  |
|------|-------------|----------|-------|--------|-------|-------|------------|-------|--|
|      | 1 layer     | 2 layers | fc6   | fc7    | fc6/7 | fc6   | fc7        | fc6/7 |  |
| VRNN | 83.3%       | 80.8%    | 81.9% | 82.9%  | 84.4% | -     | -          | -     |  |
| LSTM | 81.3%       | 81.3%    | 81.7% | 81.9%  | 82.7% | 80.0% | 81.7%      | 84.2% |  |
| GRU  | 81.9%       | 82.5%    | 82.1% | 81.0%  | 83.1% | 84.4% | 79.8%      | 83.8% |  |

Classification accuracy of traditional RNNs and our proposed PreRNN(-SIH) on NVGesture.



| Method             | Modality        | Accuracy      |
|--------------------|-----------------|---------------|
| C3D [43]           | Color           | 69.3%         |
| R3DCNN [28]        | Color           | 74.1%         |
| Ours               | Color           | <b>76.5</b> % |
| SNV [49]           | Depth           | 70.7%         |
| C3D [43]           | Depth           | 78.8%         |
| R3DCNN [28]        | Depth           | 80.3%         |
| Ours               | Depth           | <b>84.4</b> % |
| Two-Stream [37]    | Color + Flow    | 65.6%         |
| iDT [45]           | Color + Flow    | 73.4%         |
| R3DCNN [28]        | Five Modalities | 83.8%         |
| Baseline (w/o RNN) | Color + Depth   | 81.0%         |
| Ours               | Color + Depth   | <b>85.0</b> % |

Comparison of our approach with the state-of-the-art methods.

Action Recognition

| Applications              | Sequences     | CNNs                | Datasets       | Objectives |
|---------------------------|---------------|---------------------|----------------|------------|
| Sequential Face Alignment | Color         | VGG16 [ <u>38</u> ] | 300VW [7]      | $\ell_2$   |
| Hand Gesture Recognition  | Color & Depth | C3D [43]            | NVGesture [28] | CTC [15]   |
| Action Recognition        | Color & Flow  | ResNet50 [20]       | UCF101 [39]    | NLL        |

Summary of the diverse experiments in terms of applications, video types, pre-trained backbone CNNs, benchmark datasets, and objective functions.

#### Action Recognition









Examples of misclassified videos by traditional GRU, but corrected by PreGRU.

#### Action Recognition

|      | Traditional |       |       |       | PreRNN |               | P     | PreRNN-SIH |       |  |
|------|-------------|-------|-------|-------|--------|---------------|-------|------------|-------|--|
|      | Color       | Flow  | Comb  | Color | Flow   | Comb          | Color | Flow       | Comb  |  |
| VRNN | 82.9%       | 83.6% | 91.6% | 83.8% | 84.6%  | 92.7%         | -     | -          | -     |  |
| LSTM | 83.4%       | 84.0% | 92.5% | 85.3% | 84.8%  | 93.2%         | 85.0% | 84.6%      | 93.5% |  |
| GRU  | 83.6%       | 83.8% | 92.2% | 84.3% | 85.2%  | <b>93.7</b> % | 84.9% | 84.7%      | 93.3% |  |

Classification accuracy of traditional RNNs and our proposed PreRNN(-SIH) on UCF101.

-14%



Ratios of reduced recurrent parameters by PreRNN-SIH.

| Method                              | Accuracy      |
|-------------------------------------|---------------|
| Dynamic Image Nets [3]              | 76.9%         |
| Long-Term Recurrent ConvNet [10]    | 82.9%         |
| Composite LSTM Model [40]           | 84.3%         |
| C3D [43]                            | 85.2%         |
| iDT [45]                            | 86.4%         |
| Two-Stream ConvNet [37]             | 88.0%         |
| Multilayer Multimodal Fusion [48]   | 91.6%         |
| Long-Term ConvNets [44]             | 91.7%         |
| Two-Stream Fusion [14]              | 92.5%         |
| Spatiotemporal ResNets [12]         | 93.4%         |
| Inflated 3D ConvNets [4]            | 93.4%         |
| Temporal Segment Networks [46]      | <b>94.2</b> % |
| Spatiotemporal Multiplier Nets [13] | 94.2%         |
| Baseline (w/o RNN)                  | 91.7%         |
| Ours                                | <b>94.3</b> % |

Comparison of our approach with the state-of-the-art methods.

**Overview** 



#### BandRNN

#### Sparsify Hidden-to-Hidden Weight Matrix



#### Action Recognition

| PreVRNN     | Sparsity (H2H)                                  |  | PreLSTM                   | PreLSTM-SIH            | Sparsity (H2H) |                           | PreGRU | PreGRU-SIH | Sparsity (H2H) |
|-------------|---|--|---------------------------|------------------------|----------------|---------------------------|--------|------------|----------------|
| 91.9%       | diag  |  | 92.7%                     | 92.6%                  | diag           |                           | 92.8%  | 92.5%      | diag           |
| 92.3%       | 1%  |  | 92.8%                     | 93.0%                  | 1%             |                           | 92.8%  | 92.5%      | 1%             |
| 92.0%       | 5%  |  | 92.9%                     | 92.7%                  | 5%             |                           | 92.8%  | 92.3%      | 5%             |
| 92.2%       | 10%   |  | 93.2%                     | 92.9%                  | 10%            |                           | 92.9%  | 92.6%      | 10%            |
| 92.7%       | full  |  | 93.2%                     | 93.5%                  | full           |                           | 93.7%  | 93.3%      | full           |
| Traditional | Traditional VRNN: 91.6% Traditional LSTM: 92.5% |  |                           | Traditional GRU: 92.2% |                | : 92.2%                   |        |            |                |
| Baseline (w | //o RNN): 91.2%                                 |  | Baseline (w/o RNN): 91.2% |                        |                | Baseline (w/o RNN): 91.2% |        | N): 91.2%  |                |

Classification accuracy of PreRNN(-SIH) with various sparsity of hidden-to-hidden weight matrices on UCF101.



#### Action Recognition

| PreLSTM                   | PreLSTM-SIH | Sparsity (H2H) |  |
|---------------------------|-------------|----------------|--|
| 92.7%                     | 92.6%       | diag           |  |
| 92.8%                     | 93.0%       | 1%             |  |
| 92.9%                     | 92.7%       | 5%             |  |
| 93.2%                     | 92.9%       | 10%            |  |
| 93.2%                     | 93.5%       | full           |  |
| Traditional LSTM: 92.5%   |             |                |  |
| Baseline (w/o RNN): 91.2% |             |                |  |

Classification accuracy of PreLSTM(-SIH) with various sparsity of hidden-to-hidden weight matrices on UCF101.



PreLSTM

Ratios (%) of recurrent parameters of PreLSTM(-SIH) to traditional LSTM with various sparsity of hidden-to-hidden weight matrices.



#### Action Recognition

| PreLSTM                   | PreLSTM-SIH | Sparsity (H2H) |  |
|---------------------------|-------------|----------------|--|
| 92.7%                     | 92.6%       | diag           |  |
| 92.8%                     | 93.0%       | 1%             |  |
| 92.9%                     | 92.7%       | 5%             |  |
| 93.2%                     | 92.9%       | 10%            |  |
| 93.2%                     | 93.5%       | full           |  |
| Traditional LSTM: 92.5%   |             |                |  |
| Baseline (w/o RNN): 91.2% |             |                |  |

Classification accuracy of PreLSTM(-SIH) with various sparsity of hidden-to-hidden weight matrices on UCF101.



PreLSTM PreLSTM-SIH

Ratios (%) of recurrent parameters of PreLSTM(-SIH) to traditional LSTM with various sparsity of hidden-to-hidden weight matrices.



# PreRNN+BandRNN for Video Understanding

- PreRNN: better leverage strong generalization of pre-trained CNNs
- PreRNN-SIH: simplify input-to-hidden states and largely reduce input-to-hidden recurrent parameters
- BandRNN: sparsify hidden-to-hidden weight matrices and further significantly reduce hidden-to-hidden recurrent parameters
- PreRNN+BandRNN: simple and effective, produce better or comparable results to traditional RNNs, while only introduce super lightweight recurrent parameters

Majority of this work can be found at:

X. Yang, P. Molchanov, J. Kautz. Making Convolutional Networks Recurrent for Visual Sequence Learning. CVPR, 2018.



### Convergence

#### **PreRNN Converges Faster**



Comparison of the training processes between the traditional RNN and our proposed PreRNN(-SIH) for VRNN (left) and LSTM (right).

### **Understanding RNNs**

#### Internal Mechanism of Traditional RNN and PreRNN



Examples of the gate activation distribution for LSTM and GRU. Top: saturation plots of the fraction of times that each gate unit is left or right saturated for LSTM. Bottom: activation histograms over 10 bins for GRU.